

sector 3

ATTORNEY DOCKET NO.: 2003118-0001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Schwabacher, *et al.* Examiner:
Serial No: 09/535,300 Art Unit: 1643
Filing Date: March 24, 2000
Title: ONE-DIMENSIONAL ARRAYS ON OPTICAL FIBERS

Commissioner of Patents and Trademarks
Washington, DC 20231
ATTENTION: BOX MISSING PARTS



Sir:

TRANSMITTAL LETTER

Enclosed are the following documents:

1. Copy of Notice To File Missing Parts of Application
2. Establishing Right of Assignee to Take Action
3. Appointment of Attorney
4. Declaration
5. Assignment
6. Small Entity Statement (WiSys) – Nonprofit Organization
7. Check in the amount of \$65.00
8. Appointment of Associate Attorney/Agent 37 CFR 1.34(b)
9. Return Postcard.

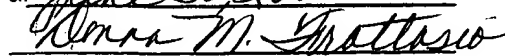
If any additional fees are required to be paid or if any overpayment has been made, please charge same to Deposit Account No. 03-1721.

Respectfully submitted,


Brenda Herschbach Jarrell, Reg. No. 39,223

CHOATE, HALL & STEWART
Exchange Place
53 State Street
Boston, MA 02109
(617) 248-5000
Dated: June 30, 2000
Exchange.3142235.1

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231 on June 30, 2000



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ATTORNEY'S DOCKET NUMBER: 2002303-0001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Schwabacher *et al.*
Serial Number: 09/535,300
Filing Date: March 24, 2000
Title: ONE-DIMENSIONAL ARRAYS ON OPTICAL FIBERS

Assistant Commissioner for Patents
Washington, DC 20231



Sir:

ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION
(37 CFR 3.73(b))

The assignee of the entire right, title and interest of inventors the University of Wisconsin-Milwaukee, hereby seeks to take action in the Patent and Trademark Office in the above matter.

Ownership by the assignee is established as follows:

_____ documents already recorded in the PTO on _____.

Reel _____ Frame _____ ; and

 X documents separately submitted for recordal to the PTO (a copy of these documents is attached).

STATEMENT

I, a person authorized to sign on behalf of the assignee, have reviewed the evidentiary documents referred to above and certify that, to the best of my knowledge and belief, title is mine/ours as assignees who seek to take further action.




Signature of Authorized Person

Carl E. Gulbrandsen
Name of Authorized Person

President
Title of Authorized Person



Respectfully submitted,


Brenda Herschbach Jarrell
Reg. No. 39,223

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Exchange Place
53 State Street
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(617) 248-5000

Date: June 30, 2000

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Donna M. Spattasio

FORMALITIES LETTER



OC00000005170782

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/535,300	03/24/2000	Alan W. Schwabacher	

Karoline K Shair
Choate Hall and Stewart
Exchange Place
53 State Street
Boston, MA 02109-2891



Date Mailed: 06/12/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- Small Entity Statement is unsigned.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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